

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	09/823,943
Filing Date	March 30, 2001
First Named Inventor	Louis B. Rosenberg
Art Unit	3711
Examiner Name	Cegielnik, Urszula M
Attorney Docket Number	51851-281195 (IMM 127)

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1.	US- 5,195,920	3/23/1993	Collier	
	2.	US- 6,154,201	11/28/2000	Levin et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	3.	JP 11-004966	1/12/1999	Sony Computer Entertainment KK		X
	4.	JP 08-048297	2/20/1996	Japan Aviation Electron		X
	5.	JP 2000-102677	4/11/2000	Tamiya Inc.		X
	6.	JP 2000-317866	11/21/2000	Sony Corp.		X
	7.	JP 2001-062160	3/13/2001	Sanwa Denshi KIKI KK		X
	8.	JP 10-314463	12/2/1998	SMC KK		X
	9.	JP 05-300985	11/16/1993	Tamiya KK		X
	10.	JP 07-019512Y2	5/10/1995	Unknown		
	11.	JP 07-053189	6/7/1995			
	12.	JP 08-168545	7/2/1996	Japan Aviation Electron		X
	13.	JP 07-024708B	3/22/1995	Takara Co Ltd.		X
	14.	JP 06-039097	5/24/1994	Unknown		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	09/823,943
Filing Date	March 30, 2001
First Named Inventor	Louis B. Rosenberg
Art Unit	3711
Examiner Name	Cegielnik, Urszula M
Attorney Docket Number	51851-281195 (IMM127)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	15.	Korean Intellectual Property Office, Korean Application No. 10-2003-7012826, Notice of Preliminary Rejection, mailed January 4, 2008, 12 pages.	
	16.	Japan Patent Office, Japanese Application No. 2002-577071, Notice for Reasons of Rejection, mailed July 19, 2007, 6 pages.	
	17.	Patent Office of the Peoples Republic of China, Chinese Application No. 02807519, First Office Action, mailed August 18, 2006, 3 pages.	
	18.	Patent Office of the Peoples Republic of China, Chinese Application No. 02807519, Second Office Action, mailed March 14, 2008, 3 pages	
	19.	European Patent Office, Application No. 02726696, Communication, mailed February 22, 2006, 3 pages.	
	20.	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US02/10394, 2 pages.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.